

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q77541

Naohide MAEDA, et al.

Appln. No.: Unknown

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: September 22, 2003

Examiner: Unknown

For: ROTOR OF ELECTRIC ROTATING MACHINE

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT  
Attorney Docket No.: Q77541

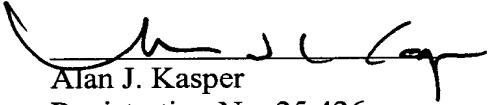
Page 2

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations: **English language abstracts, submitted herewith, constitute concise statements of relevance for Japanese Patent Publication (Unexamined) Nos. 11-136913 and 2001-86715.**

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



Alan J. Kasper  
Registration No. 25,426

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE  
**23373**  
CUSTOMER NUMBER

AJK/lck  
**Date: September 22, 2003**

MITSUBISHI DENKI KABUSHIKI KAISYA  
I.D.S (Information Disclosure Statement)

Date : September 12, 2003

Re : Our Ref : 541950US01(MF0016)  New Application  
Your Ref :  European Search Report  
U.S.SerialNo :  Other

| Prior U.S. Application<br>Of Mitsubishi Denki   | Title and Brief Description        |
|---|------------------------------------|
| <input checked="" type="checkbox"/> NONE  | NONE                               |
| Publication Document and Date   | Title and Brief Description        |
| ①Japanese Patent Publication<br>(unexamined)<br>No.11-136913<br>Publication date : May.21,1999  | 「ROTOR OF ROTARY ELECTRIC MACHINE」 |
| ②Japanese Patent Publication<br>(unexamined)<br>No.2001-86715<br>Publication date : Mar.30,2001 | 「ROTOR STRUCTURE」                  |
| Other Public Document and Date : Refer to an attached English<br>Abstract                       |                                    |
| <input checked="" type="checkbox"/> NONE  |                                    |

: European Search Report (Date : )

We enclose herewith a set of copies of communication from our associate  
in a counterpart foreign application.

: Other (Date : )

|  |   |    |   |                          |                       |
|--|---|----|---|--------------------------|-----------------------|
| <b>Substitute for Form 1449 A &amp; B/PTO</b>  |   |    |   | <i>Complete if Known</i> |                       |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><i>(use as many sheets as necessary)</i> |   |    |   | Application Number       | Unknown               |
|  |   |    |   | Confirmation Number      | Unknown               |
|  |   |    |   | Filing Date              | September 22, 2003    |
|  |   |    |   | First Named Inventor     | Naohide MAEDA, et al. |
|  |   |    |   | Art Unit                 | Unknown               |
|  |   |    |   | Examiner Name            | Uknown                |
| Sheet  | 1 | of | 1 | Attorney Docket Number   | Q77541                |

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.